

## **UAE Economic Substance**

Report template

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(selected)	A. General information
B. Branch details	1. Licensee Name ②
C. Reportable Period	
D. Updated information	2. Does the Licensee have a dual license?
E. Financial information	2.1 Commercial License/Trade License/Permit No.
F. Relevant Activity information	
G. Ownership information	2.2 Licensing Authority ⑦
Directed and managed in the UAE	3. Primary/Main Regulatory Authority
Declaration	4. Place of establishment?
Preview and summary	
	5. Registered office address ③
	5.1 Registered office city
	5.1 Registered office city
	5.2 Registered office country
	6. Offices / premises (square footage) ⑦
	o. Offices / premises (square rootage) (7)
	7. Legal form ⑦

A. General information

A. General information
8. Is the Licensee registered for VAT in the UAE?
9. Is the Licensee part of a multinational group? ①
Yes
○ No
10. Is the Licensee wholly owned by the UAE government?
○ Yes ○ No
Const.
Next
Next Cancel
Next Cancel
Next Cancel

A. General information 🗸	B. Branch details
B. Branch details (selected)	
C. Reportable Period	Are you reporting the Relevant Activity of a branch?
D. Updated information	2. Please provide details about the branch:
E. Financial information	1 -
F. Relevant Activity information	2.1 Commercial Licence/Trade License/Permit No.
G. Ownership information	
Directed and managed in the UAE	2.2 Licensing Authority
Declaration	Add new branch details
Preview and summary	
	✓ Previous Next Cancel

A. General information 🗸	C. Reportable Period		
B. Branch details	The Reportable Period is the financial period to which the Notification relates that commenced on or after 1 January 2019. The end of the Reportable Period should correspond to the financial year end of the business, and the period that financial statements (if any) are prepared for. Licensees are required to include the "start" and "end" date of the relevant		
C. Reportable Period (selected)	financial period as illustrated in the following	examples:	
	A Licensee has a financial year that correspon	ids to the Gregorian calendar year:	
D. Updated information	Start date: 1 January 2019		
	End date: 31 December 2019		
E. Financial information	Start date: 1 October 2019	and has a 31 March 2020 financial year end (short period of account):	
F. Relevant Activity information	End date: 31 March 2020		
	A Licensee is incorporated on 1 January 2019	and has a 31 March 2020 financial year	
G. Ownership information	end (long period of account):		
	Start date: 1 January 2019		
Directed and managed in the	End date: 31 March 2020		
UAE	Following the first long period of account, the	Licensee's subsequent Notification would	
	cover the following Reportable Period:		
Declaration	Start date: 1 April 2020		
Dectaration	End date: 31 March 2021		
Preview and summary	A Licensee incorporated on 1 July 2018 with a not be required to submit a Notification for th financial years commencing on / or after 1 Jan such Licensee would be: Start date: 1 July 2019 End date: 30 June 2020	is period, as the Regulations apply to	
	The Reportable Period is always the financial which the Notification is required to be submi		
	1. Reportable Period for Economic Substan	ce Test purposes:	
	Start date	End date	
		Cane	icel

A. General information	~	D. Updated information	
B. Branch details	~	1. Is the answer to Questions 1 to 5, 7 and 8 in Section A, Questions 1 and 2 in Section B, and Question 1 in Section different form the Notification filed for the Reportable Period?	on C
C. Reportable Period	~		
D. Updated information (selected)		No Yes	
E. Financial information		1.1 If the answer to Question 2 is 'Yes', please provide a reason:	
F. Relevant Activity inform	nation		
G. Ownership information			
Directed and managed in t UAE	the		ncel
Declaration			
Preview and summary			

A. General information	E. Financial information	
B. Branch details	1. Are audited financial statements for the Reportable Period available?	
C. Reportable Period 🗸	Yes	
D. Updated information 🗸	○ No	
E. Financial information (selected)	2. Currency used for financial information included in the Economic Substance Report:  AED	
F. Relevant Activity information	USD	
G. Ownership information	3. Total revenue of the Licensee for the Reportable Period:	
Directed and managed in the UAE	4. Accounting profit (loss) of the Licensee for the Reportable Period:	
Declaration		
Preview and summary	5. Net book value of tangible assets held in the UAE at the end of the Reportable Period:	
	• Previous Next	Cancel

A. General information	F. Relevant Activity information	
B. Branch details	Indicate which Relevant Activity(ies) the Licensee carried out during the Reportable Period:	1.1 Regulatory Authority (RA):
C. Reportable Period	Banking Business (2)	RA
D. Updated information		
E. Financial information	Insurance Business 🕥	
F. Relevant Activity information (selected)	Investment Fund Management Business 🥎	
G. Ownership information	Lease-Finance Business (Leasing) (?)	
Directed and managed in the UAE		
Declaration	Lease-Finance Business (Financing) 🧑	
Preview and summary	Headquarters Business 🕜	
	Shipping Business 🕥	
	Holding Company Business 🕥	
	Intellectual Property Business 🕎	
	Distribution & Service Centre Business (Distribution)  (2)	
	Distribution & Service Centre Business (Service Centre)	

A. General information	F. Relevant Activity information
B. Branch details	2. If you selected 'Intellectual property business' in Question 2A, please confirm if the Licensee is a High Risk IP
C. Reportable Period	✓ Licensee ③
D. Updated information	3. Is the answer to Questions 1 and 2 different from the Notification filed for the Reportable Period?
E. Financial information	Yes
F. Relevant Activity informa (selected)	
G. Ownership information	4. Has an Outsource Provider been used by the Licensee in relation to its Relevant Activity(ies)?
Directed and managed in the UAE	he Yes No
Declaration	
Preview and summary	• Previous Next Cancel

A. General information 🗸	A. Banking Business
B. Branch details	1. Relevant Income from the Banking Business for the Reportable Period:
C. Reportable Period 🗸	
D. Updated information 🗸	2. Operating expenditure incurred in relation to the Banking Business for the Reportable Period:
E. Financial information	3. Average number of full time equivalent (FTE) employees in the UAE in relation to the Banking Business for the
F. Relevant Activity information	Reportable Period:
Banking Business (selected)	4. Core Income-Generating Activity(ies) performed in the UAE in relation to the Banking Business for the Reportable Period:
G. Ownership information	Raising funds, managing risk including credit,
Directed and managed in the UAE	currency and interest risk
Declaration	Taking hedging positions
Preview and summary	Providing loans, credit or other financial services to customers
	Managing capital and preparing reports to investors or any government authority with functions relating to the supervision or regulation of such business
	Other, please specify
	If no Core Income-Generating Activities are performed in the UAE, please explain

A. General information 🗸	A. Banking Business
	A. Daliking Dusiness
B. Branch details	5. Expenditure incurred on Outsourcing Providers in the UAE in relation to the Banking Business for the Reportable Period:
C. Reportable Period	
D. Updated information 🗸	6. Accounting profit (loss) attributable to the Banking Business for the Reportable Period:
E. Financial information	
F. Relevant Activity information	A1. Total value of UAE deposits held by the Banking Business at the balance sheet date:
Banking Business (selected)	A2. Total value of loans recievables at balance sheet date:
G. Ownership information	
Directed and managed in the UAE	◆ Previous Next Cancel
Declaration	
Preview and summary	

A. General Information	B. Insurance Business
B. Branch details	Relevant Income from the Insurance Business for the Reportable Period:
C. Reportable Period 🗸	
D. Updated information 🗸	Operating expenditure incurred in relation to the Insurance Business for the Reportable Period:
E. Financial information	3. Average number of full time equivalent (FTE) employees in the UAE in relation to the Insurance Business for the
F. Relevant Activity information	Reportable Period:
Banking Business 🗸	4. Core Income-Generating Activity(ies) performed in the UAE in relation to the Insurance Business for the Reportable Period:
Insurance Business (selected)	Predicting and calculating risk
G. Ownership information	Tredicting and catestating risk
Directed and managed in the UAE	Insuring or re-insuring against risk and providing Insurance Business services to clients
Declaration  Preview and summary	Underwriting insurance and reinsurance
	Other, please specify
	If no Core Income-Generating Activities are performed in the UAE, please explain
	5. Expenditure incurred on Outsourcing Providers in the UAE in relation to the Insurance Business for the Reportable Period:
	6. Accounting profit (loss) attributable to the Insurance Business for the Reportable Period:
	P4. Done that i consequendentales continue incurrence activities are ballet of Consequence.
	B1. Does the Licensee undertake captive insurance activities on behalf of Group companies:

	-
A. General information 🗸	C. Investment Fund Management Business
B. Branch details	1. Relevant Income from the Investment Fund Management Business for the Reportable Period:
C. Reportable Period 🗸	
D. Updated information 🗸	2. Operating expenditure incurred in relation to the Investment Fund Management Business for the Reportable Period:
E. Financial information	
F. Relevant Activity information	3. Average number of full time equivalent (FTE) employees in the UAE in relation to the Investment Fund Management Business for the Reportable Period:
Banking Business 🗸	
Insurance Business 🗸	4. Core Income-Generating Activity(ies) performed in the UAE in relation to the Investment Fund Management Business for the Reportable Period:
Investment Fund Management Business (selected)	Taking decisions on the holding and selling of investments
Lease-Finance Business (Leasing)	Calculating risk and reserves
Lease-Finance Business (Financing)	Taking decisions on currency or interest
Headquarters Business	fluctuations and hedging positions
Shipping Business	Preparing reports to investors or any government
Distribution and Service Centre Business (Distribution)	authority with functions relating to the supervision or regulation of such business
Distribution and Service Centre Business (Service)	Other, please specify
G. Ownership information	If no Core Income-Generating Activities are
Directed and managed in the UAE	performed in the UAE, please explain
Declaration	
Preview and summary	

A. General information	C. Januartan and Friend Management Business
D. Donnah datath	C. Investment Fund Management Business
B. Branch details	<ul> <li>5. Expenditure incurred on Outsourcing Providers in the UAE in relation to the Investment Fund Management</li> <li>Business for the Reportable Period:</li> </ul>
C. Reportable Period	
D. Updated information	6. Accounting profit (loss) attributable to the Investment Fund Management Business for the Reportable Period
E. Financial information	
F. Relevant Activity information	C1. Total Value of assests under management
Banking Business	,
Insurance Business	◆ Previous Next Cancel
Investment Fund Management Business (selected)	
Lease-Finance Business (Leasing)	
Lease-Finance Business (Financing)	
Headquarters Business	
Shipping Business	
Distribution and Service Centre Business (Distribution)	
Distribution and Service Centre Business (Service)	
G. Ownership information	
Directed and managed in the UAE	
Declaration	
Preview and summary	

A. General information	D.a Lease-Finance Business (Leasing)
B. Branch details	1. Relevant Income from the Lease-Finance Business (Leasing) for the Reportable Period:
C. Reportable Period 🗸	
D. Updated information 🗸	2. Operating expenditure incurred in relation to the Lease-Finance Business (Leasing) for the Reportable Period:
E. Financial information	
F. Relevant Activity information	3. Average number of full time equivalent (FTE) employees in the UAE in relation to the Lease-Finance  Business (Leasing) for the Reportable Period:
Banking Business 🗸	
Insurance Business 🗸	4. Core Income-Generating Activity(ies) performed in the UAE in relation to the Lease-Finance Business (Leasing) for the Reportable Period:
Investment Fund Management Business	Agreeing funding terms
Lease-Finance Business (Leasing) (selected)	Identifying and acquiring assets to be leased
Lease-Finance Business (Financing)	Setting the terms and duration of any leasing
Headquarters Business	
Shipping Business	Monitoring and revising any agreements
Distribution and Service Centre Business (Distribution)	Managing any risks
Distribution and Service Centre Business (Service)	Other, please specify
G. Ownership information	If no Core Income-Generating Activities are
Directed and managed in the UAE	performed in the UAE, please explain
Declaration	
Preview and summary	

A. General information	D.a Lease-Finance Business (Leasing)	
B. Branch details	5. Expenditure incurred on Outsourcing Providers in the UAE in relation to the Lease-Finance Business (Lease the Board of the Providers in the UAE in relation to the Lease-Finance Business (Lease the Board of the	sing) for
C. Reportable Period 🗸	the Reportable Period:	
D. Updated information 🗸	6. Accounting profit (loss) attributable to the Lease-Finance Business (Leasing) for the Reportable Period:	
E. Financial information 🗸		
F. Relevant Activity information	D.a.1 Does the Licensee lease assets to Group companies, third parties, or both?	
Banking Business 🗸	Group companies	
Insurance Business 🗸	Third parties  Both	
Investment Fund Management		
Business 🗸	D.a.2 Number of leases held at the end of the Reportable Period:	
Lease-Finance Business (Leasing) (selected)	D.a.3 Net book value of assets leased at balance sheet date:	
Lease-Finance Business (Financing)	Data Net Book value of assets teased at balance sheet date.	
Headquarters Business	D.a.4 Net book value of assets leased at balance sheet date that are physically located in the UAE:	
Shipping Business		
Distribution and Service Centre Business (Distribution)	D.a.5 Net book value of assets leased at balanance sheet date that are physically located outside the UAE:	
Distribution and Service Centre Business (Service)	◆ Previous Next	Cancel
G. Ownership information		
Directed and managed in the UAE		
Declaration		
Preview and summary		

A. General information	D.b Lease-Finance Business (Financing)
B. Branch details	1. Relevant Income from the Lease-Finance Business (Financing) for the Reportable Period:
C. Reportable Period	
D. Updated information	2. Operating expenditure incurred in relation to the Lease-Finance Business (Financing) for the Reportable Period:
E. Financial information	3. Average number of full time equivalent (FTE) employees in the UAE in relation to the Lease-Finance Business
F. Relevant Activity information	(Financing) for the Reportable Period:
Banking Business 🗸	4. Core Income-Generating Activity(ies) performed in the UAE in relation to the Lease-Finance Business (Financing)
Insurance Business 🗸	for the Reportable Period:
Investment Fund Management Business	Agreeing funding terms
Lease-Finance Business (Leasing)	Setting the terms and duration of any financing
Lease-Finance Business (Financing) (selected)	Monitoring and revising any agreements
Headquarters Business	Managing any risks
Shipping Business	
Distribution and Service Centre Business (Distribution)	Other, please specify
Distribution and Service Centre Business (Service)	If no Core Income-Generating Activities are performed in the UAE, please explain
G. Ownership information	
Directed and managed in the UAE	
Declaration	
Preview and summary	

A. General information	D.b Lease-Finance Business (Financing)	
B. Branch details	5. Expenditure incurred on Outsourcing Providers in the UAE in relation to the Lease-Finance Business (Finance Reportable Period:	icing) for
C. Reportable Period	The Reportable Ferrods	
D. Updated information 🗸	6. Accounting profit (loss) attributable to the Lease-Finance Business (Financing) for the Reportable Period:	
E. Financial information		
F. Relevant Activity information	D.b.1 Is financing provided to Group companies, third parties or both?	
Banking Business 🗸	Group companies  Third parties	
Insurance Business 🗸	Both	
Investment Fund Management Business	D.b.2 Number of loans at the end of the Reportable Period	
Lease-Finance Business (Leasing)	D.b.3 Value of loans at balance sheet date	
Lease-Finance Business (Financing) (selected)		
Headquarters Business	D.b.4 Value of loans at balance sheet date that are issued to borrowers located in the UAE	
Shipping Business	D.b.5 Value of loans at balance sheet date that are issued to borrowers outside the UAE	
Distribution and Service Centre Business (Distribution)		
Distribution and Service Centre Business (Service)	◆ Previous Next	Cancel
G. Ownership information		
Directed and managed in the UAE		
Declaration		
Preview and summary		

A. General information	E. Headquarters Business
B. Branch details	1. Relevant Income from the Headquarters Business for the Reportable Period:
C. Reportable Period 🗸	
D. Updated information 🗸	2. Operating expenditure incurred in relation to the Headquarters Business for the Reportable Period:
E. Financial information 🗸	3. Average number of full time equivalent (FTE) employees in the UAE in relation to the Headquarters Business for
F. Relevant Activity information	the Reportable Period:
Banking Business 🗸	4. Core Income-Generating Activity(ies) performed in the UAE in relation to the Headquarters Business for the
Insurance Business 🗸	Reportable Period:
Investment Fund Management Business	Taking relevant management decisions
Lease-Finance Business (Leasing)	Incurring operating expenditures on behalf of a Group
Lease-Finance Business (Financing)	Coordinating Group activities
Headquarters Business (selected)	Other, please specify
Shipping Business	If no Core Income-Generating Activities are performed in the UAE, please explain
Distribution and Service Centre Business (Distribution)	5. Expenditure incurred on Outsourcing Providers in the UAE in relation to the Headquarters Business for the Reportable Period:
Distribution and Service Centre Business (Service)	
G. Ownership information	6. Accounting profit (loss) attributable to the Headquarters Business for the Reportable Period:
Directed and managed in the UAE	
	◆ Previous Next Cancel

A. General information 🗸	F. Shipping Business
B. Branch details 🗸	1. Relevant Income from the Shipping Business for the Reportable Period:
C. Reportable Period 🗸	
D. Updated information 🗸	2. Operating expenditure incurred in relation to the Shipping Business for the Reportable Period:
E. Financial information 🗸	2. Average number of full since annihilate (CTT) annihaves in the UAT in valeties to the Chinaine Divisions for the
F. Relevant Activity information	3. Average number of full time equivalent (FTE) employees in the UAE in relation to the Shipping Business for the Reportable Period:
Banking Business 🗸	4. Core Income-Generating Activity(ies) performed in the UAE in relation to the Shipping Business for the
Insurance Business 🗸	Reportable Period:
Investment Fund Management Business	Managing crew (including hiring, paying and overseeing crew members)
Lease-Finance Business (Leasing)	Overhauling and maintaining Ships
Lease•Finance Business (Financing)	Overseeing and tracking shipping
Headquarters Business 🗸	Determining what goods to order and when to
Shipping Business (selected)	deliver them, organising and overseeing voyages
Distribution and Service Centre Business (Distribution)	Other, please specify
Distribution and Service Centre Business (Service)	If no Core Income-Generating Activities are performed in the UAE, please explain
G. Ownership information	personal in the one, produce on praint
Directed and managed in the UAE	
Declaration	
Preview and summary	

A. General information	F. Shipping Business
B. Branch details	5. Expenditure incurred on Outsourcing Providers in the UAE in relation to the Shipping Business for the Reportable
C. Reportable Period	Period:
D. Updated information	6. Accounting profit (loss) attributable to the Shipping Business for the Reportable Period:
E. Financial information	
F. Relevant Activity information	F1. Number of ships operated by the Licensee during the Reportable Period:
Banking Business 🗸	
Insurance Business	
Investment Fund Management Business	
Lease-Finance Business (Leasing)	
Lease-Finance Business (Financing)	
Headquarters Business 🗸	
Shipping Business (selected)	
Distribution and Service Centre Business (Distribution)	
Distribution and Service Centre Business (Service)	
G. Ownership information	_
Directed and managed in the UAE	
Declaration	
Preview and summary	

A. General information 🗸	G. Holding Company Business
B. Branch details	1. Relevant Income from the Holding Company Business for the Reportable Period:
C. Reportable Period	
D. Updated information	2. Operating expenditure incurred in relation to the Holding Company Business for the Reportable Period:
E. Financial information	3. Average number of full time equivalent (FTE) employees in the UAE in relation to the Holding Company Business
F. Relevant Activity information	for the Reportable Period:
Holding Company Business (selected)	4. Expenditure incurred on Outsourcing Providers in the UAE in relation to the Holding Company Business for the Reportable Period:
G. Ownership information	
Directed and managed in the UAE	5. Accounting profit (loss) attributable to the Holding Company Business for the Reportable Period:
Declaration	
Preview and summary	◆ Previous Next Cancel

A. General Information	H. Intellectual Property Business	
B. Branch details	1. Relevant Income from the Intellectual Property Busine	ss for the Reportable Period:
C. Reportable Period	/	
D. Updated information	2. Operating expenditure incurred in relation to the Intelle	ectual Property Business for the Reportable Period:
E. Financial information		s in the UAE in relation to the Intellectual Property Business
F. Relevant Activity informa	for the Reportable Period:	
Intellectual Property Busine (selected)	H1. Type of Intellectual Property Asset(s) held by the Lice Patents and similar Intellectual Property Assets	ensee:
G. Ownership information	Marketing intagibles and similar Intellectual Property	Assets
High Risk IP Licensee	H2. Amount and type of income from Intellectual Property Asset(s):	
Directed and managed in th UAE	Royalties	Insert amount
Declaration	Gains from sale of IP asset	Insert amount
Preview and summary	List of other amount and types of income from Intellectua	ll Property Asset(s)
	1	_
	Insert other (optional field)	Insert amount (optional field)
	Add new asset(s)	

A. General information 🗸	H. Intellectual Property Business
B. Branch details	4. Core Income-Generating Activity(ies) performed in the UAE in relation to the Intellectual Property Business for the Reportable Period:
C. Reportable Period	Research and development
D. Updated information	
E. Financial information	Branding, marketing and distribution
F. Relevant Activity information	Taking strategic decisions and managing (as well as
~	bearing) the principal risks related to development
Intellectual Property Business (selected)	and subsequent exploitation of the Intellectual Property Asset generating income
G. Ownership information	Taking the strategic decisions and managing (as well as bearing) the principal risks relating to
High Risk IP Licensee	acquisition by third parties and subsequent exploitation and protection of the Intellectual
Directed and managed in the UAE	Property Asset
Declaration	Carrying on the ancillary trading activities through which the Intellectual Property Asset are exploited
Preview and summary	leading to the generation of income from third parties
	Other, please specify
	If no Core Income-Generating Activities are performed in the UAE, please explain

	H. Intellectual Property Business
B. Branch details	5. Expenditure incurred on Outsourcing Providers in the UAE in relation to the Intellectual Property Business for the Reportable Period:
C. Reportable Period 🗸	Reportable Period.
D. Updated information 🗸	6. Accounting profit (loss) attributable to the Intellectual Property Business for the Reportable Period:
E. Financial information	
F. Relevant Activity information	H3. Is (are) the Intellectual Property Asset(s) registered in the UAE or abroad:
Intellectual Property Business (selected)	H4. Legal owner of the Intellectual Property Asset(s) held by the Licensee:
G. Ownership information	H5. Net book value of the Intellectual Property Asset(s) at the end of the Reportable Period
High Risk IP Licensee	
Directed and managed in the UAE	H6. Intellectual Property Asset is licensed / transferred / otherwise made available to Group companies, third parties or both during the Reportable Period:
Declaration	
Preview and summary	◆ Previous Next Cancel

A. General information

A. General information	~	High Risk IP Licensee
B. Branch details	~	Is the Licensee attaching evidence to rebut the presumption that the High Risk Intellectual Property Business does not meet the economic substance test by demonstrating that the Licensee does and historically has exercised a high
C. Reportable Period	<b>~</b>	degree of control over the development, exploitation, maintenance, protection and enhancement of the IP Asset(s):
D. Updated information	~	
E. Financial information	~	1.1 Business plan showing the reasons for holding the ownership of the IP Asset(s) in the UAE:
F. Relevant Activity informa		You can select 10 files.
Intellectual Property Busin	ness	1.2 Employee information, including level of experience, qualifications, and type and duration of employment with the Licensee:
G. Ownership information	~	Select files
High Risk IP Licensee (selected)		You can select 10 files.  1.3 Evidence that all relevant decision making is taking place within the UAE:
Directed and managed in the	he	You can select 10 files.
Declaration		1.4 Any other supporting documents: (optional field)
Preview and summary		Select files
		You can select 10 files.
		✓ Previous Next Cancel

A. General information 🗸	I.a Distribution and Service Centre Business (Distribution)
B. Branch details	1. Relevant Income from the Distribution Business for the Reportable Period:
C. Reportable Period 🗸	
D. Updated information 🗸	2. Operating expenditure incurred in relation to the Distribution Business for the Reportable Period:
E. Financial information	I.a.1 Cost of goods sold in relation to the Distribution Business:
F. Relevant Activity information	
Banking Business 🗸	3. Average number of full time equivalent (FTE) employees in the UAE in relation to the Distribution Business for the Reportable Period:
Insurance Business 🗸	
Investment Fund Management Business	4. Core Income-Generating Activity(ies) performed in the UAE in relation to the Distribution Business for the Reportable Period:
Lease-Finance Business (Leasing)	Transporting and storing component parts, materials or goods ready for sale
Lease-Finance Business (Financing)	Managing inventories
Headquarters Business 🗸	Taking orders
Shipping Business 🗸	Other, please specify
Distribution and Service Centre Business (Distribution) (selected)	If no Core Income-Generating Activities are performed in the UAE, please explain
Distribution and Service Centre Business (Service)	portormed in the original product explain
G. Ownership information	
Directed and managed in the UAE	
Declaration	_

A. General information	~	I.a Distribution and Service Centre Business (Distribution)
B. Branch details	~	5. Expenditure incurred on Outsourcing Providers in the UAE in relation to the Distribution Business for the Reportable Period:
C. Reportable Period	~	
D. Updated information	~	6. Accounting profit (loss) attributable to the Distribution Business for the Reportable Period:
E. Financial information	~	
F. Relevant Activity informa	ation ✓	I.a.3 Industry
Banking Business	~	I.a.4 Does the Licensee take legal title to the goods (or component parts or materials for goods) purchased from the Foreign Connected Persons:
Insurance Business	~	
Investment Fund Managen Business	nent	I.a.5 Does the Licensee maintain inventory in the UAE:
Lease-Finance Business (Leasing)	~	
Lease-Finance Business (Financing)	~	◆ Previous Next Cancel
Headquarters Business	~	
Shipping Business	~	
Distribution and Service Co Business (Distribution) (selected)	entre	
Distribution and Service Ce Business (Service)	entre	
G. Ownership information		
Directed and managed in t UAE	he	
Declaration		

A. General information	I.b Distribution and Service Centre Business (Service)	
B. Branch details	1. Relevant Income from the Service Business for the Reportable Period:	
C. Reportable Period	<b>✓</b>	
D. Updated information	2. Operating expenditure incurred in relation to the Service Business for the Reportable Period:	
E. Financial information	3. Average number of full time equivalent (FTE) employees in the UAE in relation to the Service Business for the	e
F. Relevant Activity inform	Reportable Period:	
•	<b>✓</b>	
Banking Business	4. Core Income-Generating Activity(ies) performed in the UAE in relation to the Service Business for the Report Period:	ortable
Insurance Business	Providing consulting or other administrative services	
Investment Fund Manager		
Business	Other, please specify	
Lease-Finance Business	— Ш	
(Leasing)	If no Core Income-Generating Activities are	
Lease-Finance Business	performed in the UAE, please explain	
(Financing)	<ul><li>5. Expenditure incurred on Outsourcing Providers in the UAE in relation to the Service Business for the Report</li><li>Period:</li></ul>	able
Headquarters Business		
Shipping Business	6. Accounting profit (loss) attributable to the Service Business for the Reportable Period:	
Distribution and Service C	tre	
Business (Distribution)	✓ TIAT ( 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
	I.b.1 Type of services provided by the Licensee during the Reportable Period	
Distribution and Service C Business (Service) (selecte	tre	
G. Ownership information	I.b.2 Did the Licensee also provide services to third parties during the Reportable Period:	
Directed and managed in t		
UAE	I.b.3 Total income from services provided to third parties for the Reportable Period:	
Declaration		

A. General information	G. Ownership information
B. Branch details	
C. Reportable Period	Parent Company  The Parent Company of the Licensee is the entity that directly:
D. Updated information 🗸	<ul> <li>(a) holds a majority of voting rights in the Licensee; or</li> <li>(b) has the right to appoint or remove a majority of the boards of directors of the Licensee; or</li> <li>(c) controls alone, pursuant to a joint arrangement with other shareholders or members, a majority of the voting rights in</li> </ul>
E. Financial information	the Licensee; or (d) has the right to exercise, or actually exercises, dominant direct influence or control over the Licensee.  1.1 Name ?
F. Relevant Activity information	
Banking Business 🗸	1.2 Tax Identification Number ⑦
G. Ownership information (selected)	1.3 Address ⑦
Directed and managed in the UAE	1.4 Country of tax residence ②
Declaration	
Preview and summary	

A. General information	~	G. Ownership information
B. Branch details	~	2. Ultimate Parent Company
C. Reportable Period	~	The Ultimate Parent Company of the Licensee is an entity of a group that:
D. Updated information	~	(a) owns directly or indirectly a sufficient interest in the Licensee or the Exempted Licensee such that it is required to prepare consolidated financial statements under applicable accounting standards or would be so required if its equity interests were traded on a public securities exchange in its jurisdiction of tax residence; and
E. Financial information	~	(b) there is no other entity in the group that owns directly or indirectly a sufficient interest in such entity such that it is required to prepare consolidated financial statements under applicable accounting standards, or would be so required if
F. Relevant Activity inform	ation	its equity interests were traded on a public securities exchange in its jurisdiction of tax residence.
	~	2.1 Name ⑦
Banking Business	~	
G. Ownership information (selected)		2.2 Tax identification Number ⑦
Directed and managed in t	he	2.3 Address ⑦
Declaration		2.4 Country of tax residence ②
Preview and summary		

	G. Ownership information
B. Branch details	3. Ultimate Beneficial Owner ('UBO')
C. Reportable Period	The Ultimate Beneficial Owner of the Licensee is an individual who owns directly or indirectly twenty five percent (25%)
D. Updated information	or more of the share capital of a Licensee. A Licensee may have one or more Ultimate Beneficial Owners.  Is there an individual who owns directly or indirectly twenty five percent (25%) or more of the share capital of a
E. Financial information	Licensee?
F. Relevant Activity informat	ion
	<b>✓</b>
Banking Business	
G. Ownership information (selected)	3.2 UBO type ⑦
Directed and managed in the UAE	3.3 Name ③
Declaration	3.4 Tax Identification Number ②
Preview and summary	
	3.5 Address 🕥
	3.6 Country of Tax residence ⑦
	Add new UBO (maximum 4)

A. General information

A. General information	Outsourcing information required per Relevant Activity
B. Branch details	Number of Outsourcing Provider(s) to which one or more of the CIGA have been outsourced
C. Reportable Period	
D. Updated information	2. For each Outsourcing Provider, please provide the following:
E. Financial information	_ 1
F. Relevant Activity information	2.1 Name of the Outsourcing Provider
Intellectual Property Busines	2.2 Address of the Outsourcing Provider
G. Ownership information	2.3 UAE VAT Tax Registration Number of the Outsourcing Provider
High Risk IP Licensee	
Outsourcing information (selected)	2.4 Expenditure incurred on the Outsourcing Provider in the UAE for the Reportable Period
Directed and managed in the UAE	2.5 Number of full time equivalent (FTE) employees provided by the Outsourcing Provider
Declaration	2.6 Whether the Licensee's registered address is that of the Outsourcing Provider
Preview and summary	2.0 Whether the Elensee's registered address is that of the Odisourcing ( rovider
	2.7 The Licensee has adequate supervision of the CIGA carried out by the Outsourcing Provider
	2.8 The CIGA is carried out by the Outsourcing Provider exclusively in the UAE
	Add new Outsourcing Provider information

A. General information	~	/ Directed and managed in the UAE	``\ <u>`</u>
B. Branch details	~	1. Number of board meetings held during the Reportable Period?	
C. Reportable Period	~		
D. Updated information	~	2. Number of board meetings held in the UAE during the Reportable Period?	
E. Financial information	~	3. Was a quorum of directors physically present in the UAE for the board meetings held in the UAE?	
F. Relevant Activity informa	ation 🗸		
Banking Business	~	4. Were all strategic decisions made during the board meetings held in the UAE?	
G. Ownership information	~	5. Are the board minutes and company records maintained in the UAE?	
Directed and managed in the UAE (selected)	he	6. Does the board of directors have the necessary knowledge and expertise to discharge their duties?	
Declaration			
Preview and summary		1 	
		◆ Previous Next Ca	ancel
		Not applicable if Holding Company Business Relevant Activity is selected	أمر

A. General information	Declaration
B. Branch details	✓ 1. Designated contact person
C. Reportable Period	✓ 1.1 Name
D. Updated information	1.2 Designation
E. Financial information	<b>✓</b>
F. Relevant Activity informat	ion 1.3 Phone
Banking Business	✓ 1.4 Email
G. Ownership information	<b>✓</b>
Directed and managed in the	/2. I confirm that the Licensee has adequate:
UAE	(a) qualified employees;
Declaration (selected)	
Preview and summary	(b) expenditure;
	(c) physical assets;
	in the UAE in respect of its Relevant Activity(ies) for the Reportable Period.
	Not applicable if Holding Company Business Relevant Activity is selected
	2(a) I confirm that the Licensee has complied with all its requirements to submit any documents, records, or information required under applicable laws in the UAE
	2(b) I confirm that the Licensee has an adequate number of employees and physical premises in the UAE to undertake its Holding Company Business
	Only applicable if Holding Company Business Relevant Activity is selected

A. General information	Declaration
B. Branch details	Not applicable if Holding Company Business Relevant Activity is selected  3. I confirm that the Licensee is directed and managed in the UAE in respect of its Relevant Activity(ies)
C. Reportable Period	<b>Y</b>
D. Updated information	4. Provide financial statements
E. Financial information	✓ Select files
F. Relevant Activity informa	You can select 10 files.  ✓ 5. Additional documentation (optional field)
Banking Business	✓ Select files
G. Ownership information	You can select 10 files.
Directed and managed in th	6. Additional information (optional field)
UAE	
Declaration (selected)	7. I confirm that the information provided in the Economic Substance Report is complete and accurate, and acknowledge that there are sanctions for providing inaccurate information as per Article 15 of the Economic
Preview and summary	Substance Regulations
	✓ Previous Next Cancel